BusinessApplicationHeader:

a) The **sending MessagingEndpoint (From <Fr>)** has to be the first account holding Credit Institution ("last intermediary" from the issuers' point of view) for the Rights Holder and has to be identified by its "FinancialInstitutionIdentification" (<FIId><FinInstnId><BICFI>).

b)The **CreationDate <CreDt>** can only be after the Record Date specified in the Meeting Invitation (EntitlementFixingDate <EntitlmntFxgDt><Dt><Dt>). As in Austria the DateMode <DtMd> always is "EODY" (End of Day – Vienna Time), the Creation Date has to be at least one day after the Record Date.

Message content (seev.003 and seev.004):

a) As reference to the original Issuer Meeting Invitation the **IssuerMeetingIdentification <IssrMtgId>** has to be specified. This specification is relevant, as the sending MessagingEndpoint from the BusinessApplicatoinHeader thus confirms, that it is aware of the data from the original Meeting Invitation and that the data specified in the message, especially with regard to the EntitlementFixingDate, refers to the requirements specified in the Issuers Meeting Invitation.
If available the IssuerMeetingIdentification has been published in the official invitation and the original XML is available for download on the issuers' homepage. If not available please refer to the official seev.001, forwarded by the issuer through the Intermediary Chain.

b) **Fields required by law to accept the message as Holding Certificate:**
Only the **RightsHolder <RghtsHldr>** (first non-intermediary as per Austrian definition) will be accepted as legal representative of the shares with all the attached rights. The required fields, regarding the type of person of the shareholder are:
- **LegalPerson <LglPrsn>:** NameAndAddress <NmAndAdr> - Name <Nm>, Adress <Adr> consisting of the following fields: The entire address consisting of either up to 5 AddressLine's <AdrLine> or the separated address fields <StrtNm><BldgNb><PstCd><TwnNm><Ctry> or both; Identification <Id>: At least one of the options provided.
– **NaturalPerson <NtrlPrsn>:** NameAndAdress <NmAndAdr> - Name consisting of <FrstNm> and <Srnm>, Adress <Adr> in the same way as for a LegalPerson; DateAndPlaceOfBirth <DtAndPlcOfBirth> - BirthDate <BirthDt>. Alternatively also the Identification <Id> can be used for the BirthDate, if the IdentificationType <IdTp><Code> is CUST, which means the CONCAT Identification type, including the BirthDate.
- Other fields:
- **AccountIdentification <AcctId>:** If applicable, also with SubAccountIdentification <SubAcctId> in seev.004
- **Financial InstrumentIdentification <FinInstrmId>**
- **Balance (seev.003: <HldgBal>, seev.004: <InstdBal>):** Only balances of the BalanceType <BalTp> "INBA" will be accepted, <ShrtLngPos> only as "LONG", <Qty> only as <Unit>, fraction of the unit will be rounded down to an integer number. Units of 0 (zero) will not be accepted. The Unit specified in the balance will also be used in seev.004 for the vote instructions, as split vote is not allowed, i.e. if split vote is used in the vote instructions, the relevant instruction will not be accepted, only the registration, if correct. In case of split voting for the same account owner, several Positions <Pos> in case of seev.003 or Instructions <Instr> in case of seev.004 must be sent.
- **EntitlementFixingDate (Record Date):** As only seev.003 provides this field but not, as used in seev.001 along with the DateMode (in Austria EODY – EndOfDay), the usage of this field in seev.003 is mandatory but anyway, as already mentioned above, the field <IssrMtgId> has to be used along with the correct definition in order to reference to the seev.001.
- **CreationDate (BusinessApplicationHeader):** As already mentioned above, this has to be at least one day after the record date and not later as closing date.

c) **Proxy handling:** seev.003 and seev.004 can be used to name a proxy for a shareholder. For proper handling it is recommended to send the message also within the timeframe for the Holding Certificate, as only one message is required for both purposes (Holding Certificate and PoA). If the Proxy is sent in advance of the record date, an extra Holding Certificate has to be sent within the timeframe mentioned above. Proxies can be named usually until one day before the Meeting (business hours, Vienna time).
The required fields for the proxy nomination are the name fields as well as at least the TownName <TwnNm>, either included in the AddressLine or in the special field <TwnNm>.

Empowering the Chairman of the meeting or a board member will not be accepted. If several PoAs for the same shareholder are sent, the last one will gain effectiveness.
Regarding empowering the proxy named by the issuer please see additional information below under "Instruction handling".

d) **Instruction handling**: Vote instructions can be handled via seev.004. In case the meeting has no electronic vote option, instructions can only be given altogether with the nomination of one of the official proxies named by the issuer. Vote instructions given without this nomination will be ignored.
As mentioned before any split voting will not be accepted and the instruction, at least regarding the agenda items with split voting, will be ignored. If the official proxy named by the issuer is empowered, absence of voting instructions, regardless if not available or not acceptable will lead to the denial of the acceptance of the PoA.
If no VoteInstructionForMeetingResolution <VoteInstrForMtgRsltn> is given an Abstention will be cast in case of voting behavior that is not covered through the instructions.
Regarding the cancellation of Instructions, **seev.005** is accepted. Regarding cancellation of seev.003, please see below under "Status messaging".
Regarding VoteExecutionConfirmation please see below.

e) **Status messaging:** As we only can receive messages, status answers are only possible via another channel. As there is no proper field in the seev messages for the specification of an E-Mail – address for whom to contact it is necessary to either send your request via E-Mail to swift@hauptversammlung.at or to make a special agreement with us how to handle status messaging. This also applies to the cancellation of a seev.003 as well as the VoteExecutionConfirmation <VoteExctnConf> in seev.004.

f) **Additional information:** Filling the MeetingAttendee <MtngAttndee> field will be ignored. Any guest requests have to be made either to the issuer or to the registration e-mail – address published in the invitation.
Any other information, than those we mentioned, contained within the message will be ignored and not be processed (e.g. RightsHolder, other Balance types etc.).